Structural investigation of As-Se chalcogenide thin films with different compositions: formation, characterization and peculiarities of volume and near-surface nanolayers

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 $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ films were studied by Raman spectroscopy in order to examine the local- and medium-range order of the structure. In addition, X-ray photoelectron, Raman and surface enhanced Raman spectroscopy were used to characterize the structural peculiarities at the top surface of As-Se nanolayers. Raman investigations reveal the dominance of the As_2Se_3 and As_4Se_4 molecules in the volume of the $As_{20}Se_{80}$ film. The composition and local structure of the surfaces were determined by curve fitting of the experimental X-ray photoelectron As 3d and Se 3d core level spectra. A significant Se-enrichment was found at the near-surface layers in comparison with the composition of deeper layers which is confirmed by the dominance of As-3Se structural units in all compositions. This enrichment was also observed by surface enhanced Raman spectroscopy. Processes of arsenic oxidation and desorption of the oxidized products are impacting the structure of the surface layers of $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ films.

Keywords: chalcogenide glass, amorphous film, As-Se nanolayers, X-ray photoelectron spectra, surface enhanced Raman spectra, structural units.

Пленки $As_{20}Se_{80}$, $As_{40}Se_{60}$ и $As_{50}Se_{50}$ исследованы с помощью спектроскопии комбинационного рассеяния с целью изучения структуры ближнего и среднего порядка. Кроме того, рентгеновская фотоэлектронная спектроскопия и поверхностно усиленная спектроскопия комбинационного рассеяния света использованы для характеризации структурных особенностей приповерхностных нанослоев As-Se. Исследование Раманспектров показывает доминирование молекул As_2Se_3 и As_4Se_4 в объеме пленок $As_{40}Se_{60}$ и $As_{50}Se_{50}$ и значительный вклад Se в структуру пленки $As_{20}Se_{80}$. Состав и локальная структура поверхности определены с помощью аппроксимации экспериментальных спектров основных уровней As 3d и Se 3d. Показано, что имеет место существенное селенообогащение поверхности по сравнению с составом глубоких слоев, что подтверждается преобладанием структурных единиц As-3Se во всех составах. Это обогащение также подтверждено поверхностно усиленной Раман спектроскопией. Процессы окисления мышьяка и десорбции продуктов окисления влияют на структуру поверхностных слоев пленок $As_{20}Se_{80}$, $As_{40}Se_{60}$ и $As_{50}Se_{50}$.

Структурні дослідження плівок халькогенідів As-Se різної композиції: формування, характеризація і особливості об'єму та приповерхневих наношарів. О.Кондрат, Р.Голомб, В.Міца, М.Вереш, Н.Цуд.

Плівки $As_{20}Se_{80}$, $As_{40}Se_{60}$ і $As_{50}Se_{50}$ досліджено за допомогою спектроскопії комбінаційного розсіювання з метою вивчення структури ближнього і середнього порядку. Крім того, рентгенівську фотоелектронну спектроскопію і поверхнево підсилену спектроскопію комбінаційного розсіювання світла використано для характеризації структурних особливостей приповерхневих наношарів As-Se. Дослідження Раман-спектрів показують домінування молекул As_2Se_3 та As_4Se_4 у об'ємі плівок $As_{40}Se_{60}$ і $As_{50}Se_{50}$ та значний вклад Se у структуру плівки $As_{20}Se_{80}$. Склад і локальну структуру поверхні визначено за допомогою апроксимації експериментальних спектрів основних рівнів As 3d і Se 3d. Показано, що має місце суттєве селенозбагачення поверхні у порівнянні зі складом глибших шарів, що підтверджується домінуванням структурних одиниць As-SSe у всіх композиціях. Це збагачення також було підтверджено поверхнево підсилених продуктів впливають на структуру поверхневих шарів плівок $As_{20}Se_{80}$, $As_{40}Se_{60}$ і $As_{50}Se_{50}$.

1. Introduction

Arsenic selenide glasses are among the most important glassy chalcogenide materials useful for many different applications in infrared (IR) optics, data recording, imaging, sensing and recently in chalcogenide photonics. Stoichiometric As_2Se_3 is a classic glass former and also has the interesting feature of having a composition exactly at the floppy-to-rigid transition [1]. Different As_xSe_{100-x} materials have special interest because of their opto-mechanical properties [2].

Amorphous films of $As_x Se_{100-x}$ chalcogenide glass (ChG) system are currently of interest as materials for optoelectronic devices as well as optical information storage [3, 4]. It was found that $As_{50}Se_{50}$ exhibits high light sensitivity during photostructural transformations due to the presence of homopolar As-As bonds [5, 6]. The excess of As in $As_{50}Se_{50}$ relative to stoichiometric $As_{40}Se_{60}$ leads to an increase of the optical gap E_g (from 1.90 to 1.95 eV for bulk $As_{40}Se_{60}$ and $As_{50}Se_{50}$, respectively) [7]. The evaporated films have been shown to have a large degree of structural disorder which was found to depend on the method and conditions used for deposition [8]. In addition to structural disorder of the amorphous state connected with the absence of long range order and translation symmetry, the two types of defects: (i) coordination defects (i.e. so called charged D^+ and $D^$ centers or valence-alternation pairs (VAPs)) and (ii) homopolar bonds defects (sometimes referred to as "wrong bonds") can be found in non-crystalline structures, too [9]. VAPs in the $\mathsf{As}_2\mathsf{Se}_3$ glass have been detected by Antoine et al. using X-ray photoelectron spectroscopy (XPS) and first principles molecular dynamics simulations. Also, it was found that the concentration of such defects decreases upon near bandgap laser irradiation [10]. On the other hand, the concentration of homopolar bonds in arsenic chalcogenides was estimated to be $\sim 10^2-10^3$ times larger than that of the charged defects [11]. The role of charged defects in the structure and properties of oxy-chalcogenide glasses were also discussed in [12].

The dimensions of active elements in modern opto-electronic and photonic devices are in the order of nanometers. Therefore the role of the material surface became very important. Also, the local structure and structural defects (both charged defects and/or homopolar bonds) normally occurring at the surface contribute also to the general (bulk) electronic, optical and other physico-chemical properties of ultrasmall active chalcogenide elements [13, 14]. Thus, the characterization of the local structure at the surface of deposited nanolayers and the study of their relationship with the physico-chemical properties are of great scientific importance from both fundamental and applied points of view.

The XPS with a sampling depth of approximately few monolayers (~30 angstroms) is an excellent method to study surface properties of materials [15]. In this paper the XPS spectroscopy was used to characterize atomic composition and shortrange order at the surface of $As_{20}Se_{80}$, As₄₀Se₆₀ and As₅₀Se₅₀ nanolayers. Additional (medium-range order, cluster and molecular structure) structural information of As-Se nanolayers were obtained by Raman spectroscopy which was used in both ordinary and surface enhanced regimes. The surface-enhanced Raman spectroscopy



Fig. 1. XPS Se 3d core level spectra of $As_x Se_{100-x}$ (x = 20, 40, 50) films together with the results of curve fitting. Peak components were identified as Se-2As (1), Se-1Se1As (2), Se-2Se (3). 1, 2, 3 denote $3d_{5/2}$ peaks, and 1', 2', 3' denote $3d_{3/2}$ peaks. (Color on-line).

(SERS) allows us to examine the structure of the nanolayer surface. The aim of this work is the examination of the atomic stoichiometry, local- and medium-range order structures and their characteristics as well as electronic properties of the surfaces of deposited As-Se nanolayers.

2. Experimental

XPS and Raman spectroscopy of As-Se nanolayers

Amorphous As20Se80, As40Se60 and As50Se50 thin films with thickness of about 500 E were prepared by thermal evaporation of source bulk glasses onto (100) silicon crystal wafer substrates. The bulk As_xSe_{100-x} (x = 20, 40, 50) samples were prepared by the conventional melt-quenching route in evacuated quartz ampoules from a mixture of high purity 99.999 % As and Se precursors [16]. The furnace was rocked to obtain the most homogeneous melt. All ingots were quenched by switching off the furnace.

Photoemission measurements were performed with a Mg K_{α ($h\nu = 1253.6$ eV) X-ray source. As 3d and Se 3d core levels were recorded at normal emission geometry [17]. This method is surface sensitive and allows to analyze the near-surface part of the film (~2 nm) [18]. The C 1s core-level spectra of all As-Se films were measured and the binding energy (BE) of the C 1s signal from surface impurities (285.0 eV) was used for}

preliminary energy correction, and was crosschecked using the BE of the Se $3d_{5/2}$ bulk signal (55.6 eV) [19, 20]. To accommodate the differences in surface potentials between samples, and to determine the absolute positions of all XPS core levels, an ultrathin (~3 Å) gold film was deposited on the sample surface. Then the experimental positions of the core levels for all the investigated samples were also calibrated by referencing to the $4f_{7/2}$ core level peak of pure Au at 84.0 eV [20]. The gold-deposited sample was used only for the determination of absolute position and for controlling the studied core level shapes. The measured intensities of As 3d and Se 3d core level spectra were normalized by the corresponding atomic photoionization cross-sections [17]. The Se 3d and As 3d core level peaks were fitted using a Voigt function with subtraction of a Shirley type background to yield peak position and intensity. The fitting procedure is described elsewhere in detail [21].

Raman spectra were measured using a Renishaw system 1000 Raman spectrometer, equipped with a CCD detector. A diode laser operating at 785 nm was used as the excitation source. The measurements were made in micro-Raman configuration using backscattering geometry. In order to avoid the photo-induced changes in the structure of the samples, stimulated by this laser, the output power of the excitation source was



Fig. 2. XPS As 3d core level spectra of $As_x Se_{100-x}$ (x=20, 40, 50) films together with the results of curve fitting. Peak components were identified as As-3Se (1), As-2Se1As (2). 1, 2, denote $3d_{5/2}$ peaks, and 1', 2', denote $3d_{3/2}$ peaks. (Color on-line).

limited by means of optical filters [22]. The ordinary Raman spectroscopy gives integral structural information on the As-Se films (from the surface and deeper subsurface layers, up to few micrometers) at medium range order scale. To distinguish between surface and subsurface structure of the As-Se nanolayers, SERS measurements have been performed as well. For this reason, 20 nm gold nanoparticles were placed on the surface of the films and were used to activate the surface enhancement in the Raman spectra of As-Se nanolayers. In the SERS spectra the signal from the surface is essentially stronger and this allows to distinguish between the integral and the surface (few nanometers) Raman signal and to perform the structural analysis of the surface part of samples.

3. Results and discussion

Results of the XPS measurements of the $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ thin films together with the results of curve fitting are shown in Fig. 1 and 2. As seen from Fig. 1, the Se 3d core level spectra of the $As_{20}Se_{80}$ and $As_{40}Se_{60}$ thin films were fitted well using 3 doublets with $3d_{5/2}$ spinorbit splitting components at ~54.7 eV (peak 1), ~55.2 eV (peak 2) and ~55.5 eV (peak 3) binding energies. According to the published data, peak 3 is assigned to Se-2Se s.u. in bulk Se [20]. The averaged mo-

lecular orbital (MO) energy level of the Se 3d core level calculated for this s.u. of 55.7 eV [23] is a further confirmation of this assignment. DFT calculations show that the substitution of Se by As leads to an energy shift of the Se 3d core level to 55.3 eV for an Se-1Se1As s.u. and to 54.8 eV for an Se-2As s.u. [23]. Therefore, we assigned peak 2 (55.2 eV) in the Se 3dcore level spectra of the $As_{20}Se_{80}$ and $As_{40}Se_{60}$ thin films to Se-rich As-Se-Se s.u. The calculated energy of 54.8 eV coincides well with the experimental Se 3d core level BE of 54.7 eV found for the As₂Se₃ crystal in which only the Se-2As s.u. is present [19, 20]. This component becomes the most intense in the Se 3d core level spectrum of the As₅₀Se₅₀ sample (see Fig. 1). In addition, there is no peak 3 in the Se 3d core level this sample.

The As 3d core level spectrum of the As₅₀Se₅₀ thin film (Fig. 2) was fitted using two components assigned to arsenic bonded to three selenium atoms (As-3Se s.u., ~42.9 eV, peak 1) and arsenic bonded to two selenium and one arsenic atoms (As-2Se1As s.u., ~42.5 eV, peak 2); these energies are in good agreement with values reported for materials with corresponding arsenic chemical coordination: As₂Se₃, and realgar-type As₄Se₄, respectively [20, 24-27]. The calculated MO energy of the As 3d core level components for units where Se was substituted

Table 1. Atomic concentrations and As/Se ratio of $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ films calculated from XPS data. For comparison, the values of As/Se ratio for the bulk glasses are given in parentheses.

	As ₂₀ Se ₈₀	As ₄₀ Se ₆₀	$As_{50}Se_{50}$
As, %	13.1	23.8	38.1
Se, %	86.9	76.2	61.9
As/Se	0.15 (0.25)	0.31 (0.67)	0.62 (1.0)

by As in $AsSe_3$ pyramids, i.e. As-2Se1As and As-3Se, is overestimated by about ~0.7 eV [23] but in general are in good agreement with the experimental data. The same components were found for the As 3d core level spectra of the As₄₀Se₆₀ film. Finally, the As 3d core level spectra of As₂₀Se₈₀ were fitted by a single As-3Se component only, which is expected for the As-poor composition.

The Raman and SERS spectra of As-Se nanolayers are shown in Fig. 3 and Fig. 4, respectively. Raman spectra of the $As_{40}Se_{60}$ and $As_{50}Se_{50}$ thin films are similar to each other and are characterized by the main peak at 220 cm^{-1} with a shoulder at 252 cm^{-1} . The As₂₀Se₈₀ composition demonstrates the dominance of the band at the 252 cm^{-1} and the presence of an inflection at 220 cm⁻¹. Apart from that all spectra contain a small peak at 130 cm^{-1} . The band at 300 cm^{-1} is related to the signal from the Si substrate. SERS spectra of the investigated samples are characterized by the intensive peak at 247 cm^{-1} , which is sharp for the $As_{20}Se_{80}$ and $As_{40}Se_{60}$ compositions and significantly wider for the $As_{50}Se_{50}$ film. In the lower frequency region peaks were detected at 105, 125, 165 and 187 cm^{-1} . Atomic stoichiometry



Fig. 3. Raman spectra of $As_x Se_{100-x}$ films: 1) x = 20; 2) x = 40; 3) x = 50.

To analyze the composition of the surface nanolayers, the atomic concentrations and As to-Se ratio of the films under investigation were calculated using As 3d and Se 3d peak areas normalized to appropriate cross sections (see Table 1). The significant loss of As content is evident for all As_xSe_{100-x} films. Similar depletion of the As content was detected in our earlier synchrotron radiation photoelectron spectroscopy (SRPES) study of As-Se nanolayers using the excitation energy of 450 eV [16, 21]. The drastic loss of As at the surface of the two As-rich As₄₀Se₆₀ and As₅₀Se₅₀ compositions can be connected with the presence of homopolar As-As bonds in their structure.

Table 2. Contribution (Area, ± 5 %) to the main $(3d_{5/2})$ peak of each doublet of individual components determined from curve fitting of Se 3d and As 3d XPS spectra of As₂₀Se₈₀, As₄₀Se₆₀ and As₅₀Se₅₀ films

Core level/Component	As ₂₀ Se ₈₀	As ₄₀ Se ₆₀	As ₅₀ Se ₅₀
Se 3 <i>d</i> :			
Se-2As, %	15.8	33.5	72.9
Se-1Se1As, %	20.7	46.4	27.1
Se-2Se, %	63.5	20.1	-
As 3 <i>d</i> :			
As-2Se1As, %	-	17.9	24.8
As-3Se, %	100	82.1	75.2

These weak As-As bonds can easily break on the surface under the influence of ambient conditions (i.e. oxidation etc.) [16, 21]. The oxidation of As leads to formation of As_2O_3 at the surface [28]. The desorption of volatile arsenic oxides from the near-surface layers [29] can explain the drastic loss of As content.

The As₂Se₃ characterized by layered structure formed by As-Se rings built from As-3Se pyramids. Such a 2D network can be considered as a "protective network" which slows down the oxidation processes in deeper layers of the film. The $As_{50}Se_{50}$ composition does not form a 2D network and represents mostly a molecular-like structure with As_4Se_4 and/or As_4Se_3 units. The structure of the $As_{20}Se_{80}$ film is also formed mostly by 1D structures (-Se-Se-chains) and Se₈ molecules. Such structural characteristics of the $As_{20}Se_{80}$ and $As_{50}Se_{50}$ films explain the higher level of oxidation of arsenic in deeper layers, which, together with the diffusion of the oxidized arsenic to the surface region and its desorption in the form of As_2O_3 causes the As-loss [23, 29].

Component analysis

The contributions of the Se 3d and As 3d peak components to the total core level intensity is shown in Table 2. The main component of the Se 3d peak of As₂₀Se₈₀ sample is the Se-2Se s.u., with a significant contribution of the Se-1Se1As s.u. (20.7 %) and Se-2As s.u. (15.8 %). Taking into account the As 3d core level of this structure with a single As-3Se component, it can be concluded that there are no homopolar As-As bonds on the surface of As₂₀Se₈₀ nanolayers, i.e. each arsenic atom is bonded only with selenium atoms.

Homopolar As-As bonds appear in the $As_{40}Se_{60}$ film: the As 3d core level contains 17.9 % of As-2Se1As s.u. in addition to 82.1 % of the As-3Se s.u. In contrast to $As_{20}Se_{80}$, curve fitting of the Se 3d spectrum of $As_{40}Se_{60}$ shows the redistribution in the peak component intensities: the most intensive component becomes Se-1Se1As. These results show a significant difference between the structure of $As_{40}Se_{60}$ surface nanolayers and the structure of As_2Se_3 crystal where only Se-2As s.u. (in Se 3d) and As-3Se s.u. (in As 3d) are expected. The stoichiometric (Se-2As) component dominates (72.9 %) the Se 3d core level of the $As_{50}Se_{50}$ film. The concentrations of the other two components, Se-1Se1As and Se-2Se, were calculated to be 26 % and 0 %,



Fig. 4. Surface enhanced Raman spectra of $As_x Se_{100-x}$ films: 1) x = 20; 2) x = 40; 3) x = 50.

respectively. Simultaneously, the contribution of As-2Se1As s.u. in As 3d core level of As₅₀Se₅₀ increases to 24.8 % from 17.9 % found for As₄₀Se₆₀.

Similar investigations have been made using synchrotron radiation as an excitation source to get a photoelectron spectra of the $As_x Se_{100-x}$ (x = 20, 40 and 50) surface nanolayers [23]. In this case, the sampling depth of the measured photoelectron is approximately 10 angstroms [30] in contrast to the ~ 30 angstroms for the XPS. The $As_{20}Se_{80}$ composition is characterized by the absence of the water-like Se-2As s.u. on surface layers. The types of structural units in As₄₀Se₆₀ sample are found to be the same for both cases and their contributions to the appropriate core levels (As 3d and Se 3d) are quite similar. Finally, on the surface of the $As_{50}Se_{50}$ film some quantity (18 %) of Se-2Se s.u. was detected. Such fact correlates well with the 70 % of Se, detected on the surface instead of the 62~% in the deeper layers. All these data indicate the formation of the gradient concentration in the near surface layers of the $\mathsf{As}_{20}\mathsf{Se}_{80}$ and $As_{50}Se_{50}$ thin films: the surfaces of these films are enriched by structural units with homopolar Se-Se bonds. It can be a consequence of the desorption of some part of As atoms from the surfaces due to the weak-

ness of As-As bonds. At the same time, the $As_{40}Se_{60}$ sample is the most homogeneous at least within the top ~30 angstroms.

Middle range order

Ping Chen et al. [31] discovered the aging of selenium and arsenic-selenide glasses with different composition and have analyzed the Raman spectra of crystalline As_2Se_3 , As_4Se_4 , and As_4Se_3 . From this work it can be seen that the main peak in the Raman spectrum of the Se is located at ${\sim}253~{\rm cm}^{-1}$ and connected with the ${\rm Se_8}$ rings. The addition of As lead to formation of the new peaks in the Raman spectra of As-Se glasses located at lower wavenumbers. The Raman spectrum of the $c-As_2Se_3$ is characterized by the peaks at ~247, ~230, ~216 and ~202 cm⁻¹. Taking into account these data it can be argued that SERS spectra of the $As_{20}Se_{80},\,As_{40}Se_{60}$ and $As_{50}Se_{50}$ thin films (see Fig. 4) demonstrate the dominance of selenium in the composition of the films. Such Se-enrichment correlates well with the results of the XPS investigations (see Table 1). It can be seen mostly for the $As_{20}Se_{80}$ and $As_{40}Se_{60}$ compositions. The broadening of the spectra in the lowfrequency part means the presence of some quantity of the arsenic. This broadening is the highest for the $\ensuremath{\mathsf{As}_{50}}\ensuremath{\mathsf{Se}_{50}}$ composition and correlates well with data presented in Table 1. The Raman spectrum of the As₂₀Se₈₀ film (Fig. 3) is similar to its SERS spectrum and confirms the dominance of Se in the structure of the film. The shape and position of peaks in the Raman spectra of $As_{40}Se_{60}$ and $As_{50}Se_{50}$ thin films are similar to those showed in [31] and demonstrate the presence of As_2Se_3 and As_4Se_4 molecules. Such differences between the results of Raman spectroscopy and SERS confirm the formation of the gradient concentration from the surface to the deeper layers of the films.

4. Conclusions

The surface of $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ nanolayers was studied in detail using X-ray photoelectron spectroscopy and surface enhanced Raman spectroscopy. Atomic concentrations and calculated As-to-Se ratios obtained from photoelectron spectra show that all surfaces are enriched with chalcogen. Each sample demonstrates depth dependence (gradient) of arsenic content, which increases with the distance from the surface. The lowest deficiency of arsenic in the subsurface region was found in the $As_{40}Se_{60}$ sample, which was attributed to

the 3D network-like structure of arsenic triselenide. The properties observed in the surface layers of $As_{20}Se_{80}$, $As_{40}Se_{60}$ and $As_{50}Se_{50}$ films are connected with both their molecular structure and processes of arsenic oxidation and desorption of the oxidized products. The Raman spectra of $As_{40}Se_{60}$ and $As_{50}Se_{50}$ films are different from the Raman spectrum of the $As_{20}Se_{80}$ film. However, the comparison of the Raman and SERS spectra of the samples shows that all film surfaces ($As_{20}Se_{80}$, $As_{40}Se_{50}$ and $As_{50}Se_{50}$) are enriched by Se, as indicated by the main band at 247 cm⁻¹ in the Raman spectra.

Component analysis shows the presence of structural units with homopolar Se-Se bonds and the stoichiometric Se-2As s.u. at the surface layers of all films, including also the As-rich composition. Free selenium (Se-2Se) structural units were detected in the structures of the $As_{20}Se_{80}$ and $As_{40}Se_{60}$ films. The stoichiometric Se-2As s.u. becomes dominant for the $As_{50}Se_{50}$ composition. In spite of the Se-enrichment of the sample surfaces, homopolar $\mathsf{As-As}$ bonds were detected in the structure of $As_{40}Se_{60}$ and As₅₀Se₅₀ films. Acknowledgments. O.K. and R.H. gratefully acknowledge support from the Hungarian Academy of Sciences within the Domus Hungarica Scientiarum et Artium Programme. N.T. acknowledges CERIC-ERIC consortium and Czech Ministry of Education (LM2015057) for financial support.

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